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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/579,689

03/05/2007

Rene' Amherd

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RANKIN, HILL & CLARK LLP  
38210 Glenn Avenue  
WILLOUGHBY, OH 44094-7808

EXAMINER

BONK, TERESA

ART UNIT

PAPER NUMBER

3725

MAIL DATE

DELIVERY MODE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/579,689	<b>Applicant(s)</b> AMHERD, RENE'	
	<b>Examiner</b> TERESA BONK	<b>Art Unit</b> 3725	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 22 December 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-7 and 9-16 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-7 and 9-16 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 22 December 2008 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

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## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on December 22, 2008 has been entered.

### ***Claim Objections***

Claims 1-7 and 9-16 are objected to because of the following informalities: Since on the first and ninth lines of the independent claims the Applicant has set forth “rollers” and “two cylindrical rollers,” respectively, it is suggested that, in order to maintain continuity throughout the claims that any further mentioning of a “roller” be changed to “rollers” (for example: claim 2 lines 2 and 3). Appropriate correction is required.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-7 and 9-16 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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In claims 1, 15, and 16, there is insufficient antecedent basis for the limitation “the clamping jaws” at least for the first time it is mentioned in each independent claim.

In claim 1, line 6, the limitation currently reads: “wherein the pressing is accomplished in that *the clamping jaws at the rear* are pressed” - suggesting that there are a second set of jaws “at the rear.”

In claim 7, there is insufficient antecedent basis for the limitation “the surface of the rollers.”

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 3, 4, 9, 10, 14, and 16 are rejected under 35 U.S.C. 102(b) as being anticipated by Ryan (US Patent 5,309,751). Ryan discloses a roller holder unit with rollers (62) for use with an pneumatically operated pressing tool with a piston- cylinder unit (14/70) with which the clamping jaws are connected to a fork-like receiver by way of a retaining bolt, wherein the rollers roll on the clamping jaws of a clamping pincer (48/50) whilst the clamping pincer is moved by the piston-cylinder unit, wherein the pressing is accomplished in that the clamping jaws at the rear are pressed apart by the rollers, the roller holder unit comprising: a bearing block (72); and, at least one lateral retaining plate (22) arranged thereon in which two cylindrical rollers are held secured in a freely rotatable manner, wherein the bearing block is provided with

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arcuate sliding bearing surface (76, Figure 9) for each roller, which in its shape corresponds to the roll surface and thus to the outer diameter of the cylindrical rollers (Column 3, lines 33-43).

With regards to claim 3, Ryan also discloses wherein the sliding bearing surfaces are mirror-symmetric, wherein the deepest location with respect to the bearing block is located between the periphery of the bearing block and its center (Figure 9).

With regards to claim 4, Ryan also discloses wherein the bearing block (72) is fastened on a piston rod (70) of the piston-cylinder unit (14).

With regards to claim 9, Ryan also discloses wherein the bearing block and the sliding bearing surfaces are of one piece (Figure 2).

With regards to claim 10, Ryan also discloses wherein the bearing block, the sliding bearing surfaces and retaining plates are of one piece (Figure 3).

With regards to claim 14, Ryan also discloses wherein the rollers are without through-bores (Figure 1).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ryan. Ryan does not explicitly disclose that his rollers are supported on the retaining plate through the use of pins, however it would have been obvious to one having ordinary skill in the art, at the time of the

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claimed invention, to have used pins to rotatably secure the rollers since the examiner takes official notice that such construction is well known in the art as a simple and cost effective securing means.

Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ryan in view of Orain (US Patent 4,936,133). Ryan discloses the invention substantially as claimed except for further including a lubrication groove in the sliding bearing surfaces. Orain is relied upon to teach that having grooves (3) improves the lubrication of the smooth bearing contact between trunnions (bearing block) and the rollers during operation (Column 3, lines 39-48). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide a groove on the bearing surfaces in order to improve lubrication.

Claims 6, 7, and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ryan in view of Eckert (PGPub US 2003/0089360). Ryan discloses the invention substantially as claimed except for wherein a surface of the sliding bearing surfaces and the surface of the rollers is coated or hardened so that it has a low friction with respect to the rollers and wherein the rollers include a surface consisting of steel. Eckert is relied upon to teach that manufacturing a tool where rollers are used to open jaws such that the rollers and/or their contact surfaces are made of steel and/or coated with a material to lower friction is known in the art (paragraphs 0053-0055). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide the particular material and/or coating for the rollers or sliding surfaces in order to extend service life and for ease of operation.

Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ryan in view of Rothfuss et al. (US Patent 3,851,285). Ryan discloses the invention substantially as claimed

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except for wherein the sliding bearing surface includes a surface of Teflon. Rothfuss et al. is relied upon to teach a tool wherein the sliding bearing surface includes a surface of Teflon (Column 3, lines 3-7). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide a Teflon surface in Ryan's sliding bearing surface since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use.

Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ryan in view of Kamishiro et al. (JP 61118574A). Ryan discloses the invention substantially as claimed except for wherein the bearing block is formed from a ceramic material. Kamishiro et al. is relied upon to teach a bearing block formed from a ceramic material (Abstract). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide Ryan's bearing block to be formed from a ceramic material because it provides "excellent wear resistance" (Abstract).

Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ryan in view of Cadena (US Patent 6,393,885). Ryan discloses the invention substantially as claimed except for wherein the bearing block is provided with a sliding bearing surface for each roller formed in the bearing block as a cylindrical cut-out. Cadena is relied upon to teach a rolling tool having rollers (102) and a bearing block (110) with sliding bearing surfaces (112/114) formed in the bearing block as a cylindrical cut-out (Figure 6). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide the bearing surfaces with cylindrical cut-outs because applying a known technique to a known device ready for improvement yields predictable results such as the rollers move more easily.

***Response to Arguments***

Applicant's arguments with respect to claims 1-16 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TERESA BONK whose telephone number is (571)272-1901. The examiner can normally be reached on Monday-Friday 9:00-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dana Ross can be reached on 571-272-4480. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Dana Ross/  
Supervisory Patent Examiner, Art Unit 3725

Teresa M. Bonk  
Examiner  
Art Unit 3725



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